

WATER INFRASTRUCTURE FINANCE AUTHORITY OF ARIZONA

Fiscal Sustainability Plan Certification

Name of Borrower:

Project Number:

Project Title: _____

In accordance with Section 603(d)(1)(E) of the federal Clean Water Act, a loan recipient must develop and implement a fiscal sustainability plan or certify that it has developed and implemented such a plan.

603(d)(1)(E) for a treatment works proposed for repair, replacement, or expansion, and eligible for assistance under subsection (c)(1), the recipient of a loan shall:

- i. develop and implement a fiscal sustainability plan that includes—
 - I. an inventory of critical assets that are a part of the treatment works;*
 - II. an evaluation of the condition and performance of inventoried assets or asset groupings;*
 - III. a certification that the recipient has evaluated and will be implementing water and energy conservation efforts as part of the plan; and*
 - IV. a plan for maintaining, repairing, and, as necessary, replacing the treatment works and a plan for funding such activities; or**
- ii. certify that the recipient has developed and implemented a plan that meets the requirements under clause (i).*

Please check one of the boxes below and sign and date this form:

As the authorized representative of _____, I certify that _____ has developed and implemented a fiscal sustainability plan that meets the requirements of Section 603(d)(1)(E) of the Clean Water Act.

As the authorized representative of _____, I understand that _____ shall develop and implement a fiscal sustainability plan, and will certify the plan meets the requirements under Section 603(d)(1)(E)(i) of the Clean Water Act . The certification must be signed and submitted with the fiscal sustainability plan to WIFA before 50% of funds associated with repair/replacement/expansion have been drawn.

As the authorized representative of _____, I certify that the project does not involve the repair, replacement or expansion of a treatment works and, therefore, does not require development of a fiscal sustainability plan.

I understand that WIFA will rely on this signed certification to approve the funding and that false or inaccurate representations in this certification may result in the loss of all funds awarded to the borrower for its project. Additionally, WIFA may withhold disbursement of project funds and/or pursue any other applicable legal remedy.

Name of Authorized Representative
(Please print)

Title

Signature of Authorized Representative

Date